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DOCKET NO.: MSFT-2817/301134.01

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Paul C. Roberts, et al.

Confirmation No.: 1473

Application No.: 10/693,407

Group Art Unit: 2131

Filing Date: October 24, 2003

Examiner: Not Yet Assigned

For: PROVIDING SECURE INPUT AND OUTPUT TO A TRUSTED AGENT IN A
SYSTEM WITH A HIGH-ASSURANCE EXECUTION ENVIRONMENT

DATE OF DEPOSIT:

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A handwritten signature in dark ink, appearing to read "Sharon Fenick", written over a horizontal line.

TYPED NAME: Sharon Fenick
REGISTRATION NO.: 45,269

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

☐ In accordance with § 1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached;
or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith
- ☐ Copies of references listed on the attached Form PTO 1449 are not required to be submitted pursuant to the June 30, 2003 recent revisions to 37 CFR § 1.98(a)(2)(i).

EXCEPT THAT:

- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
- ☐ In accordance with § 1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. § 120 have been made in the instant application:
 - ☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior Application No. , filed .

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

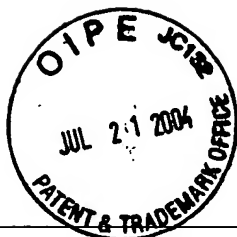
There are no listed references which are not in the English language.

Date: 7/19/04



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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office		Docket No. MSFT-2817/301134.01	Application No. 10/693,407
		Applicant Paul C. Roberts, et al.	
		Filing Date October 24, 2003	Group 2131
		Confirmation No. 1473	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	1	Garfinkel, T. et al., "Terra: A Virtue Machine-Based Platform for Trusted Computing", <i>ACm Symposium on Operating Systems Principles, Proceedings of the nineteenth (19th) ACm Symposium on Operating Systems Principles, 2003</i> , 193-206	
	2	Josang, A. et al., "User Interface Requirements for Authentication of Communication", <i>ACM International Conference Proceeding Series, Proceedings of the Fourth Australian User Interface Conference on User Interfaces 2003, 2003</i> , 18, 75-80	
	3	Kent, S.T., "Encryption-Based Protection for Interactive User/Computer Communication", <i>Proceedings of the Fifth Symposium on Data Communications, 1977</i> , 5.7-5.13	
	4	Landwehr, C.E. et al., "A Taxonomy of Computer Program Security Flaws", <i>ACM Computing Surveys (CSUR)</i> , 1994, 26(3), 211-254	
	5	Salles, E.J. et al., "Security of runtime Extensible Virtual Environments", <i>Collaborative Virtual Environments, Proceedings of the 4th International Conference on Collaborative Virtual Environments, 2002</i> , 97-104	
	6	Spalka, Adrian, Cremers, Armin B., Langweg, Hanno, "Protecting the Creation of Digital Signatures with Trusted Computing Platform Technology Against Attacks by Trojan Horse Programs", <i>Trusted Information. The New Decade Challenge. Proceedings of IFIP/SEC '01, 2001</i> , 403-419	
EXAMINER		DATE CONSIDERED	